1 2	The Visual System of a Palaeognathous Bird: Visual Field, Retinal Topography and Retino-Central Connections in the Chilean Tinamou (<i>Nothoprocta perdicaria</i>).		
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ABSTRACT

40 Most systematic studies of the avian visual system have focused on Neognathous species, 41 leaving virtually unexplored the Palaeognathae, which comprise the flightless ratites and the 42 South American Tinamous. We investigated the visual field, the retinal topography, and the 43 pattern of the retinal and centrifugal projections of the Chilean Tinamou, a small Palaeognath 44 of the family Tinamidae.

- The Tinamou has a panoramic visual field with a small frontal binocular overlap of 20°. The retina possesses three distinct topographical specializations: a horizontal visual streak, a dorsotemporal area and an area centralis with a shallow fovea. The maximum ganglion cell density is 61,900 per mm², comparable to Falconiformes. This would provide a maximal visual
- 49 acuity of 14.0 cycles/degree, in spite of relatively small eyes.
- 50 The central retinal projections generally conform to the characteristic arrangement observed in
- 51 Neognathae, with well-differentiated contralateral targets and very few ipsilateral fibers. The
- 52 centrifugal visual system is composed of a considerable number of multipolar centrifugal
- 53 neurons, resembling the "ectopic" neurons described in Neognathae. They form a diffuse
- 54 nuclear structure, which may correspond to the basal condition shared with other sauropsids.
- 55 A notable feature is the presence of terminals in deep tectal layers 11–13. These fibers may
- 56 represent either a novel retino-tectal pathway or collateral branches from centrifugal neurons
- 57 projecting to the retina. Both types of connections have been described in chicken embryos.
- 58 Our results widen the basis for comparative studies of the vertebrate visual system, stressing
- 59 the conserved character of the visual projections' pattern within the avian clade.

60 Introduction

61

As a group, birds rank among the most visual vertebrates that ever lived on earth. Their reliance on vision is manifested in very enlarged eyes and a highly differentiated visual system, in which the visual pathways and nuclei, conforming to a common vertebrate neural *bauplan*, are particularly distinct and well developed (Güntürkün, 2000; Karten, 1969).

However, in spite of large scale comparative studies exploring the allometric variations of 66 specific brain structures (e.g. Corfield et al., 2012; Iwaniuk et al., 2010, 2005), the systematic 67 68 anatomical and electrophysiological investigation of the avian visual system has been focused on only few species – the chicken (Gallus gallus; e.g. Ehrlich and Mark, 1984a, 1984b; Koshiba 69 70 et al., 2005; Luksch et al., 2001; Verhaal and Luksch, 2013; Wang et al., 2006, 2004), the rock 71 pigeon (Columba livia; e.g. Benowitz and Karten, 1976; Binggeli and Paule, 1969; Karten et 72 al., 1997, 1973; Letelier et al., 2004; Marín et al., 2003, 2012; Mpodozis et al., 1995; Remy and 73 Güntürkün, 1991; Shimizu et al., 1994), the quail (*Coturnix coturnix*; e.g. Budnik et al., 1984; 74 Ikushima et al., 1986; Maturana and Varela, 1982; Norgren and Silver, 1989a), the barn owl 75 (Tyto alba; e.g. Bravo and Pettigrew, 1981; Gutfreund, 2012; Gutfreund et al., 2002; Harmening 76 and Wagner, 2011; Knudsen, 2002; Pettigrew and Konishi, 1976; Wathey and Pettigrew, 1989), 77 and the zebra finch (*Taeniopygia guttata*; e.g. Bischof, 1988; Faunes et al., 2013; Keary et al., 78 2010; Schmidt and Bischof, 2001; Schmidt et al., 1999), all of them pertaining to the 79 Neognathae, the grand clade to which most extant bird species belong.

80 Modern birds or Neornithes, however, include a second extant clade, the Palaeognathae 81 (Hackett et al., 2008), encompassing six living families: Struthionidae (Ostrich), Dromaiidae 82 (Emu), Casuariidae (Cassowaries), Apterygidae (Kiwi), Rheidae (Rheas) and Tinamidae 83 (Tinamous) (Harshman et al., 2008). Surprisingly, apart from a few studies (e.g. on the retinal 84 topography of the Ostrich (Boire et al., 2001; Rahman et al., 2010), on the photoreceptors of 85 Ostrich and Rhea (Wright and Bowmaker, 2001), or on the sensory systems of the Kiwi (Martin 86 et al., 2007)), the Palaeognathae have been vastly ignored by comparative neurobiologists, even 87 though their considerable phylogenetic distance from the commonly studied Neognathae -12088 to 130 million years (Brown et al., 2008; Haddrath and Baker, 2012) - makes them a very 89 interesting subject for gaining insights into the evolution of the avian visual system and the 90 scale of the phylogenetic plasticity of its constituent elements.

91 Undoubtedly, the lack of attention towards palaeognathous birds is much explained by their 92 scarcity and, not the least, by their difficult manageability: most Palaeognaths are rather big and 93 fierce animals, such as the Ostrich or the Emu, while the smaller Kiwis exhibit highly derived

94 characteristics with a greatly reduced visual system (Martin et al., 2007).

However, there is one palaeognathous group without such drawbacks: The Tinamiformes,
consisting of the sole family Tinamidae, represent 47 species in nine genera (Bertelli and

97 Porzecanski, 2004; Bertelli et al., 2014), which are endemic to the Neotropics of South and 98 Middle America (Cabot, 1992). They are diurnal birds, generally medium-sized (the largest 99 about the size of a pheasant). Intriguingly, they are the only living Palaeognathae which can 100 fly.Despite this ability, however, they are ground-dwelling birds and make use of their short 101 but strong wings only to escape from immediate danger or to reach their roost (Cabot, 1992; 102 Conover, 1924; Pearson and Pearson, 1955). This remarkable lifestyle suggests well-developed 103 sensory capacities, particularly in the visual system, and especially in those Tinamous 104 inhabiting open terrains, the "Steppe Tinamous" (subfamily Nothurinae; Bertelli et al., 2014).

105 In the present study, as a first step of an overall investigation of the visual system of a Steppe

- 106 Tinamou, the Chilean Tinamou (Nothoprocta perdicaria; Figure 1), we mapped the extent of
- 107 the visual field, examined the topography of the retinal ganglion cell layer (GCL) and, by
- 108 injecting cholera toxin subunit B into the eye, traced the pattern of the retinal connections to

109 the central targets in the brain.

110 Materials and Methods

111

Seven adult Chilean Tinamou (Nothoprocta perdicaria) specimens were used in this study. They were acquired from a Chilean breeder (Tinamou Chile, Los Ángeles, Chile). The animals were kept in cages with food and water ad libitum. All efforts were made to minimize animal suffering and experiments were conducted in compliance with the guidelines of the NIH on the use of animals in experimental research, with the approval of the bioethics committee of the Facultad de Ciencias of the Universidad de Chile.

118 Measurement of the visual field

119 The visual field measurements were conducted by the methods described in Vega-Zuniga et al. 120 (2013). Four animals were anaesthetized with a mixture of ketamine (120 mg/kg IP) and 121 xylazine (4 mg/kg IP) and mounted in a stereotaxic head holder in the center of a custom-built 122 campimeter. The head was positioned so that the palpebral fissures were aligned with the 123 campimeter's equator (analysis of photographs of relaxed birds showed that the normal posture 124 of the head is inclined downwards by approximately 10° relative to this position). During the 125 experiment, the evelids of the birds were held open with thin strips of masking tape while the 126 eyes were constantly kept moist by applying sterile NaCl solution every few minutes. We then 127 used an ophthalmoscopic reflex technique to measure the visual fields of both eyes of each bird, 128 determining the nasal and temporal limits of the retinal reflections and noting the angles into a 129 conventional latitude/longitude coordinate system.

130 Retinal whole-mounts

131 For analysis of the retinal whole-mounts, we followed the methods described by Ullmann et al. 132 (2012). The eyes of three animals were enucleated from their sockets after PBS perfusion of 133 the animals (see below), their axial length was measured with digital calipers and they were 134 hemisected close to the ora serrata. The vitreous body was removed from each retina, which 135 was then dissected from the sclera, ending with the excision of the optic nerve head and pecten. 136 With forceps and fine paintbrushes, the retina was cleared from the pigment epithelium and, 137 after flattening with four radial incisions, was whole-mounted on gelatin-coated slides, let dry 138 and firmly attach to the gelatin, and fixed overnight with paraformaldehyde (PFA) vapors at 60 139 °C. Afterwards, the retina was Nissl-stained, dehydrated in ascending alcohols followed by 140 clearing in xylene and cover-slipped with DPX (Sigma-Aldrich Chemie GmbH, Steinheim, 141 Germany). No means were undertaken to assess possible areal shrinkage of the retina, which 142 reportedly is minimal in whole-mounted retinas affixed to gelatin-coated slides (Wässle et al., 143 1975).

144 **Retinal cross-sections**

145 Two Chilean Tinamou eyes were removed immediately after perfusion of the animal (see 146 below), hemisected at the ora serrata (see Figure 4 A) and post-fixed for six hours in 4% PFA. 147 The evecups were then transferred into a 30% sucrose/PBS (phosphate buffered saline 0.1 M: 148 0.023 mM NaH₂PO₄ and 0.08 mM Na₂HPO4, pH 7.4; with NaCl 0.75%) solution until they 149 sank. A gelatin embedding solution was produced by adding 10 g sucrose and 12 g gelatin type 150 A (Sigma-Aldrich Chemie GmbH, Steinheim, Germany) to 100 ml H₂O_{dest}, and heating it to 55 °C to dissolve the gelatin. Both the eye cups in sucrose solution and the gelatin solution were 151 152 put into an oven at 37 °C until they reached the same temperature. Then, the vitreous bodies 153 were removed from the eye cups, which were subsequently embedded in gelatin. The gelatin-154 eye-cup-blocks were trimmed, put into 4% PFA for postfixation for two to five hours and 155 afterwards into 30% sucrose/PBS for cryoprotection until they sank. They were sectioned with 156 a cryostat (Kryostat 1720, Leica, Wetzlar, Germany) at 30µm in the transversal and horizontal 157 plane, respectively, and the sections were mounted on gelatin-coated slides, Nissl-stained, 158 rapidly dehydrated in ascending alcohols followed by clearing in xylene, and cover-slipped 159 with DPX.

160 Visual acuity estimation of the eye

161 The maximal Spatial Resolving Power (SRP) was approximated using the sampling theorem 162 (Hughes, 1977). This is a way to estimate the theoretical maximal visual acuity from the eye's 163 posterior nodal distance (PND) and the peak density of RGCs (Collin and Pettigrew, 1989; 164 Pettigrew et al., 1988; Ullmann et al., 2012). The inclusion of non-ganglionic cell populations 165 (i.e. displaced amacrine cells) in the estimation is negligible because of the relatively very small ratio of such cells in high-density retinal areas (Hayes and Holden, 1983). Since no direct 166 167 measurement of the PND was made, the known approximate PND to axial length ratio of 0.60 168 in diurnal birds was used as described in the literature (Boire et al., 2001; Hughes, 1977; Martin, 169 1993; Ullmann et al., 2012): PND = 0.60 * axial length. The angle covering 1 mm on the retina is then: $\alpha = \arctan \frac{1 mm}{PND}$. Spatial resolution is estimated by calculating the number of cells 170 171 covered by 1 degree of visual arc in the area centralis (AC). Since the cell density is given in 172 cells/mm², the square root is applied to convert it to cells/mm. The number of cells per degree is: cells per degree = $\frac{density at area of peak cell distribution}{r}$. Finally, the result has to be 173 174 divided by 2, since at least two cells are necessary for one cycle of grating (one light and one 175 dark bar in one degree of visual angle). Thus, the Spatial Resolving Power is given in cycles per degree (cpd): SRP $[cpd] = \frac{cells \ per \ degree}{2}$. 176

177

179 Neuronal tracing experiments

For the intraocular tracer injection experiments, five birds were sedated and anaesthetized with a mixture of 4 % halothane and oxygen, delivered at a constant flow of 1 l/min using a customized mask placed around the bill.

The skin dorsal to the eye socket was incised with a scalpel to expose the eyeball. A small cut was made in the dorsal sclera, through which Cholera toxin subunit B (CTB, 20µl of ~0.83% in PBS with 2% DMSO; List Biological Laboratories Inc., Campbell, CA, USA) was injected into the eye's vitreous body with a Hamilton syringe (Hamilton Company, Reno, NV, USA). After the procedure the skin wound was closed with instant adhesive and treated with antiseptic

188 povidone-iodine solution.

189 The birds were then allowed to recover. After survival periods of five to seven days the animals

190 were deeply anaesthetized and perfused intracardially with PBS and subsequently 4% PFA (in

191 PBS). The brains were dissected from the skull, post-fixed in 4% PFA and transferred into a

192 30% sucrose/PBS solution until they sank.

193 The brains were sectioned in the transversal plane with a cryostat or a freezing microtome at a 194 section thickness of 50 µm, collected in PBS and alternately separated into three or four series

- 195 for subsequent anti-CTB immunohistochemistry. The sections were immersed in 90% methanol
- $196 / 3\% H_2O_2$ for 10 min to quench endogenous peroxidase activity, and incubated over night with
- 197 a primary polyclonal anti-CTB antibody raised in goat (List Biological Laboratories Inc.,
- 198 Campbell, CA, USA; Cat# 703, RRID: AB_10013220; diluted 1:40,000 in PBS / 0.3% Triton
- 199 X-100 / 5% normal rabbit serum). After a subsequent one-hour-incubation with a secondary
- biotinylated anti-goat IgG (H+L) antibody raised in rabbit (Vector Laboratories Inc.,
 Burlingame, CA, USA; diluted 1:1500 in PBS / 0.3% Triton X-100), ABC solution (avidin /
- 201 biotinylated peroxidase complex; Vectastain Elite ABC Kit, Vector Laboratories Inc.,
- 203 Burlingame, CA, USA) was added to bind to the biotinylated secondary antibodies. In a final
- step, the ABC peroxidase activity was used for diaminobenzidine (DAB) precipitation by
- $205 \qquad \text{incubating the sections for six minutes in a 0.025\% DAB / 0.0025\% H_2O_2 solution (using DAB-0.0025\% H_2O_2 solution)} \\$
- 206 buffer tablets for microscopy; Merck KGaA, Darmstadt, Germany) in imidazole-acetate buffer
- 207 / 1% NiSO₄ for intensification and contrast enhancement (Green et al., 1989).

208 Processed sections were mounted on gelatin-coated slides, counterstained according to standard

209 Nissl or Giemsa protocols or left clear ("CTB plain"), and cover-slipped with DPX after

210 dehydration in ascending alcohol series and clearing in xylene.

211 Stereology

212 **Retinal Whole-mounts**

213 Microscopic examination and photographing of the histological material was performed under

an Olympus BX63 microscope with an attached DP26 digital color camera (Olympus Corp.,

215 Tokyo, Japan).

Four retinal whole-mounts (two right eyes, two left eyes) were analyzed. The Nissl-stained ganglion cells were counted live using the microscope software CellSens Dimension v1.7 (Olympus Soft Imaging Solutions GmbH, Münster, Germany). Using an x60 water immersion objective, cell counting was performed according to the fractionator principle (Gundersen, 1977) in Regions of Interest (ROIs) sampled at regular intervals, while using the focus control in order to better differentiate cells from one another.

222 In order to define the ROIs and drawing the retinal GCL isodensity maps, we took 223 photomicrographs of the entire Nissl-stained retinal whole-mounts (stitched together by the 224 microscope software), projected them on the wall with a beamer and drew their contours onto 225 graph paper at a scale of 20:1. The ROI positions were defined by a 2x2cm grid on the graph 226 paper, which thus corresponded to a 1x1mm grid on the true-scale retinal whole-mount. The 227 respective coordinates of each grid point were targeted with the motorized microscope stage, 228 and at each position an ROI of 100x100µm was defined in the software as an unbiased counting 229 frame (Gundersen et al., 1988b). According to this principle we only counted neurons within 230 the ROI or touching the ROI frame at two out of four sides (the other two being the adjacent 231 'exclusion edges'). RGCs could be easily distinguished from the small and spindle-shaped glial 232 cells (Wathey and Pettigrew, 1989), which were disregarded in the counting, but distinction 233 from displaced amacrine cells by cytological criteria (Ehrlich, 1981) would only have been 234 feasible in areas of low cell densities. Therefore, we decided not to distinguish between RGCs 235 and displaced amacrine cells, and all our data presented here include displaced amacrine cells, 236 but not glial cells.

Cell counts were filled into the hand-drawn retina map, which was then digitalized with a scanner. In Photoshop CS5 (Adobe Systems Inc., San Jose, CA), isodensity contours were drawn to visualize the cell distribution of the GCL across the retina. Furthermore, the total cell number in the GCL was estimated by assuming mean cell densities for the isodensity areas and multiplying those values by the respective areas in mm², according to the following model (Vega-Zuniga et al., 2013):

243
$$N_{total} = \sum_{i=1}^{n} A_i \, \bar{d}_i \begin{cases} \bar{d}_i = \left(\frac{d_{inner} + d_{outer}}{2}\right), i \ge 2\\ \bar{d}_i = d, \qquad i = 1 \end{cases}$$

244 (Where A_i are the isodensity areas, d_i ;⁻ the respective mean densities, and d_{inner} , d_{outer} the cell

245 densities for the isodensity contours confining each area, respectively).

246 **Retinal cross-sections**

247 Because of the high density of neurons in the GCL, a modified optical disector method (Hatton

- and Von Bartheld, 1999) was applied in order to remedy the problem of bias due to differential
- 249 shrinkage in frozen nervous tissue sections (Carlo and Stevens, 2011). Under the microscope
- using an x60 water immersion objective and differential interference contrast (DIC), RGCs
 were counted in 30 µm thick retinal cross-sections across the whole section thickness in a 33.3
- μ m long (x-axis; parallel to the GCL) counting frame with an exclusion edge on one side (Gundersen, 1977; Gundersen et al., 1988a, 1988b). In the y-axis no exclusion edge was
- 254 necessary, since the GCL was counted in its full width (compare Figure 4). An exclusion surface
- was defined in the uppermost focal plane of the section by only counting Nissl-stained perikarya coming into best focus below it. By these rules, counting was performed at 13 random positions
- around and within the foveal depression in three adjacent sections containing the AC. The
- numbers thus acquired resembled the numbers of cells per 999 μ m² of retinal surface (30 μ m *
- 259 33.3 µm), respectively, and their mean was converted to cells per 1 mm² by multiplication with
- 260 1001.

261 Estimation of centrifugal neurons

262 The total number of centrifugal neurons in the dorsal isthmic region was estimated using an 263 unbiased optical fractionator stereology approach (West, 1999; West et al., 1991), similar to 264 previously described (Gutiérrez-Ibáñez et al., 2012). In the histological material of one 265 Tinamou, all sections of one out of four series (i.e. every fourth section) which contained 266 retrogradely labelled neurons were analyzed by randomly superimposing a 0.01 mm² square 267 grid, and defining an unbiased counting frame (Gundersen, 1977) of 0.05 x 0.05 mm² at each 268 grid node. At each counting frame position the section thickness was measured with the microscope focus and guard zones were established at the upper and lower surface in order to 269 270 account for sectioning irregularities. The guard zones were defined so that the z-space in 271 between them had a known fraction of the section thickness (about 2/3), such that a cuboid was formed under the counting frame. This counting cuboid was unbiased in that three adjacent 272 273 sides of it served as 'exclusion edges' and the other three as 'inclusion edges' (Gundersen et 274 al., 1988a). Neurons were counted when their perikarya came into focus residing inside the 275 cuboid or touching one of the inclusion sides and not touching any of the exclusion sides. 276 Furthermore, the mean diameters of all counted cell profiles (n=180 contralateral, n=14 277 ipsilateral) were measured in the microscope software.

Coefficients of error (CE) for the retinal cross-section as well as the centrifugal neurons counts
were calculated with Scheaffer's equation (Schmitz and Hof, 2000).

280 **Results**

281 Visual field measurements

282 Figure 2 depicts the results from the ophthalmoscopic visual field analysis. Since the results 283 from all eight eyes measured were highly similar (with the standard deviations at each 284 coordinate mostly far below 10°, and in the frontal binocular visual field always below 4°), we 285 show only one representative case. The Chilean Tinamou possesses a maximum frontal 286 binocular overlap of 20° (Figure 2 A,B), which is located about 13° above the line connecting 287 the pupil with the tip of the bill (Figure 2 A). The overlap extends some 80° from above to 288 below, with its biggest (and generally broader) field above the bill tip. The bill's projection falls 289 amidst the binocular field. Within the horizontal plane (Figure 2 B), the Tinamou has, in 290 addition to the binocular overlap, a monocular field of 140° (thus, each eye has a field of 160°). 291 The blind sector to its rear measures 60°. Altogether, the bird has a panoramic visual field of 292 300°.

293 Eye morphology, retinal topography and regional specializations

294 Five enucleated eyes were measured with a digital caliper. The axial length (AL) was 10.68 295 ± 0.43 mm, the transverse diameter 14.79 ± 0.25 mm and the corneal diameter (CD) 6.26 ± 0.41 296 mm. The 'eye shape', the log₁₀ of the CD:AL ratio (Hall and Ross, 2007), was -0.232. The three 297 flat-mounted retinal whole-mounts analyzed had an average area of 257.1 ±4.3 mm². 298 Stereological analysis of the Nissl-stained ganglion cell layer (GCL) allowed us to estimate the 299 quantity of neurons in the GCL and reveal the topographical specializations of the Chilean 300 Tinamou retina. The total number of neurons in the GCL was estimated at 4.3 $\pm 0.2 \times 10^6$. The 301 average neuron density across the entire retinal surface thus is $16.8 \pm 0.8 \times 10^3$ neurons/mm². 302 Drawing isodensity contours with predefined thresholds revealed three types of retinal 303 topographical specializations. Since all three retinal topography maps were very congruent, we 304 show only one representative map (Figure 3). Close to the center lies a high-density area 305 centralis (AC; Figure 3 C), slightly nasally to the optic disk and pecten oculi. The maximum 306 RGC density estimated in this region is $61.9 \pm 2.3 \times 10^3$ RGCs/mm², more than 3.5x the average 307 neuron density in the retina. Dorsally and slightly temporally to this area there is a broad 308 dorsotemporal area (DTA; Figure 3 B) of high neuron density between 30 and 40 *10³ 309 neurons/mm², which is segregated from the AC by a narrow part of lower neuron density. A 310 horizontal visual streak extends nasally and temporally from the AC, dorsal to the pecten. It is 311 of slightly lower neuron density than the DTA, ranging from 20 to 30×10^3 neurons/mm². Insets 312 in Figure 3 illustrate the scope of variation in GCL neuron density and RGC morphology, which 313 occurs across different topographical areas of the retina. In the outer, low-density periphery 314 (Figure 3 A), the RGCs tend to be larger and fewer than in the high-density areas (e.g. AC or 315 DTA).

316 **Retinal cross-section structure**

317 We made retinal cross-sections for two distinct reasons. First, microscopy of the whole-mounts 318 suggested that in high-density areas the RGCs were stacked over one another, which 319 compromised the achievement of confident cell-counts in such regions. We reasoned that we 320 could test our results by applying optical dissector stereology to cross-sections. Second, in the 321 whole-mounts it was not possible to ascertain whether the AC of the Chilean Tinamou retina 322 contained a true fovea or not. Freshly dissected retinae appeared to have a moderate depression 323 at this position with a slightly different color, both visible under a stereomicroscope (see Figure 324 4 A). Therefore, we sectioned two retinae at 30 µm, one transversally and one horizontally, and 325 studied the central region with more detail.

326 Figure 4 B depicts a transverse section at the level of the AC, which is located dorsally to the 327 anterior portion of the optic nerve head (compare Figure 3). Since we had prepared the complete 328 section series, and another one in the horizontal plane, we could ascertain that the section shown 329 passes through the very center of the AC, showing the clearest representation of the depression. 330 As the inset of the AC (Figure 4 C) shows, the depression can be distinguished in the GCL and 331 all subsequent layers down to the Outer Nuclear Layer (ONL), except the inner and outer 332 segments of the photoreceptors (IS+OS). Thus, the Chilean Tinamou retina appears to possess 333 a concaviclivate fovea, although shallow and little pronounced.

334 In the AC, the GCL is approximately 25–30 µm thick and contains 5–6 stacked layers of RGCs, 335 which appear to be organized in a gross columnar fashion. A similar organization can be seen 336 in the Inner Nuclear Layer (INL), which contains densely packed bipolar, amacrine and 337 horizontal cells. It has a pronounced thickness, ranging from 100–125 µm in the perifoveal 338 region. In regions of lower cell densities, the stacking decreases and the columnar organization 339 vanishes (Figure 4 C,D,E). Accordingly, the other retinal layers (INL, ONL, and the 340 photoreceptor segments (IS+OS)) are less thick in regions of lower RGC density (Figure 4 D,E), 341 with the exception of the IPL, which in the DTA is even thicker than in the AC (100-105 vs 342 60-80 µm).

- Our stereological analysis of the AC in the GCL cross-sections (see Methods) yielded 58.1 \pm 2.3 *10³ RGCs per mm² of retinal surface (CE = 0.0109). If only samples in the center of the foveal depression were taken into account, the estimation was slightly lower (57.6 \pm 2.4; CE = 0.0337), in the case of all samples except the ones in the fovea slightly higher (58.4 \pm 2.5; CE=0.0081)
- 347 *10³.

348 Spatial Resolving Power (SRP) estimation

The theoretical maximum of visual acuity (i.e. spatial resolving power) was estimated from the eye's axial length and RGC density in the AC (see Methods). Since the focal length of the Tinamou eye was not directly measured, the evaluation is partly based on the assumption that there is a constant PND to axial length ratio of 0.6 in birds (Hughes, 1977; Martin, 1993; Ullmann et al., 2012). The focal length was thus estimated at 6.41 mm. As above described, two different values of the maximum RGC density in the AC were obtained: The retinal wholemount analysis yielded $61.9 \pm 2.3 \times 10^3$, the cross-section 3D-stereology 58.3 $\pm 1.3 \times 10^3$ RGCs/mm². Using both values resulted in SRP estimations of 14.0 and 13.6 cycles/degree, respectively.

358 The Chilean Tinamou brain

359 The dissected brain of the adult Chilean Tinamou (Figure 5) measures approximately 2 cm in 360 length from the tip of the olfactory bulb to the posterior end of the medulla. The three birds used for the tracer experiments weighed between 386 and 540 g (442 \pm 85), and their brains 361 362 weighed 1.93 ± 0.12 g after perfusion and post-fixation. These values lie amidst those of related 363 Tinamou species, and also the allometric relation of body weight to brain weight falls in line 364 with other Tinamidae (Corfield et al., 2008). The Chilean Tinamou brain's shape is roughly 365 similar to a pigeon or chicken brain. The Visual Wulst of the telencephalon is fairly conspicuous 366 from the outside, and the lobe of the Optic Tectum (TeO) is well-developed and relatively large.

367 **Primary visual projections**

368 Transverse section series with various counter-staining procedures ("Nissl", "CTB Nissl", 369 "CTB Giemsa") or with plain Anti-CTB immunohistochemistry were produced of the five 370 available Chilean Tinamou brains with intraocular injections of CTB. Retinal terminals were 371 found in all retinorecipient areas known from neognathous birds: In the dorsal and the ventral 372 Thalamus, the Hypothalamus, the Pretectum, the Tectum, and the Accessory Optic System 373 (Figures 6–9). The vast majority of retinal afferents made a complete decussation at the 374 Chiasma opticum (Figures 6,7) and were therefore confined to the contralateral hemisphere 375 (with respect to the eye which had received the tracer injection). Careful scrutiny also revealed 376 sparse ipsilateral fibers and terminals, which were found in some dorsal thalamic, pretectal and 377 AOS structures (see below), but none at all in the TeO.

Dorsal Thalamus

- 379 The well-known components of the avian dorsolateral geniculate (GLd) complex (classically
- also called nucleus opticus principalis thalami; OPT) receive a substantial retinal input (Figure
- 381 7 C,D; Figure 8 A). In the *n. dorsolateralis anterior thalami, pars lateralis* (DLL), the largest
- nucleus of the GLd complex, the retinal terminals distributed exclusively into its ventral portion
- 383 (Figure 7 C,D; Figure 8 A). The n. dorsolateralis anterior thalami, pars magnocellularis
- 384 (DLAmc), which could be delimited from the laterally adjoining DLL by its slightly larger cells,
- 385 received very few retinal fibers, mostly confined to its anterior ventral part (Figure 8 A). The
- 386 *n. lateralis dorsalis optici principalis thalami* (LdOPT) appeared heavily innervated by retinal

- 387 fibers, where they formed large terminal clusters, very distinct from other retinorecipient zones
- 388 (Figure 8 A). Although this nucleus was difficult to distinguish from the adjacent DLL in plain
- 389 Nissl material, it appeared as a very well-defined nucleus when the retinal projections were
- 390 visualized. Another dorsal thalamic structure clearly receiving retinal terminals was the n.
- 391 *suprarotundus* (SpRt; Figure 8 A). Retinal fibers without terminals were further seen in the *n*.
- 392 *superficialis parvocellularis* (SPC; data not shown).
- 393 As has been mentioned before, the vast majority of retinal projections to the GLd was confined
- 394 to the contralateral hemisphere, but sparse terminals were also found in two ipsilateral GLd
- 395 subunits: the DLL and the LdOPT (data not shown).

396 Ventral Thalamus

- 397 As in all birds, the ventral thalamus of the Chilean Tinamou is dominated by the *n. geniculatus* 398 pars ventralis (GLv; Figures 7 B-E; 8 C). The GLv shows a laminated structure (Guiloff et al., 399 1987), with two clearly visible laminae: the lamina interna (GLv-li) with tightly packed somas 400 receiving very sparse retinal afferents, and a neuropil layer (GLv-ne) with dense retinal 401 terminals (Vega-Zuniga et al., 2014). Another nucleus of the avian ventral thalamus is the n. 402 lateralis anterior (LA), which showed a high density of retinal terminals (Figures 7 A,B; 8 B). 403 This nucleus appears very large in the Tinamou as compared to, e.g., the pigeon (Güntürkün 404 and Karten, 1991). In addition, we found a low density of fibers and terminals in the nucleus 405 marginalis tractus optici (nMOT; Figures 7 B-D; 8 B) which, as in other birds, first appears at 406 the rostral margin of the thalamus and continues to form an envelope around the LA (Güntürkün 407 and Karten, 1991), and more caudally around the *n. rotundus* (Rt) just below the DLL. In the 408 n. ventrolateralis thalami (VLT), which lies between GLv and Rt and is a known retinorecipient 409 region in birds (Schulte et al., 2006), we found only few sparse terminals (Figure 7 D).
- 410 Regarding ipsilateral retinal projections in the ventral thalamus, we only found a few scattered
- 411 terminals in the anterior portion of the LA (data not shown).

412 Hypothalamus

- 413 Retinal afferents to the Hypothalamus were not very dense and terminated in a diffuse region
- 414 at the dorsal border of the anterior optic tract (Figures 7 A,B; 9 A). We could not differentiate
- 415 between a lateral and a medial part as described in the pigeon (Shimizu et al., 1994). Rather,
- the projection pattern we found seemed to conform only to the lateral structure described there.
- Following the nomenclature put forward by Cantwell and Cassone (2006) we call it the visual
- 418 suprachiasmatic nucleus (vSCN).

419 **Pretectum and AOS**

- 420 Several pretectal structures showed innervation from the retina (Figures 7 D,E; 9 B): The *n*.
- 421 lentiformis mesencephali (LM), which is divided into a medial (LMm) and a lateral (LMl)

422 lamina (following the nomenclature by Gamlin and Cohen, 1988a, 1988b; Pakan and Wylie, 423 2006; Pakan et al., 2006; Sorenson et al., 1989) juxtaposed between the ventral and dorsal strata 424 optica medial to the TeO, showed very dense retinal innervation. Immediately lateral to the 425 LM, a broad sheet with similarly dense retinal projections constitutes the tectal gray (GT:). 426 Other retinorecipient structures are found dorsally to the *n. pretectalis* (PT): Following the 427 nomenclature of Gamlin and Cohen (1988a), these are the area pretectalis (AP) and especially 428 its dorsal subdivision, the area pretectalis pars dorsalis (APd), which was strongly labelled 429 (Figure 7 F). In all of these structures (GT, LM, AP and APd), very sparse ipsilateral retinal 430 terminals were also found (data not shown). At the posterior margin of the optic tract we found 431 dense retinal terminals in the nucleus of the basal optic root (nBOR; Figures 7 F; 9 C), which 432 forms part of the accessory optic system (AOS). Sparse terminals were also found on the 433 ipsilateral side (data not shown).

434 **Optic Tectum**

435 The whole anteroposterior and dorsoventral extent of the TeO was labelled by Anti-CTB 436 immunohistochemistry (Figure 6), showing that the intraocularly injected tracer had been taken 437 up uniformly across the entire retina. All retinal projections were exclusive to the contralateral 438 TeO. Dense terminals were found in the superficial layers (L2 through L7) of the stratum 439 griseum et fibrosum superficiale (SGFS). The layers which receive retinal afferents vary 440 considerably in thickness along the dorsoventral axis of the TeO (Figure 10). While in the dorsal 441 aspect L3 and L4 cover more than half of the width of all retinorecipient layers taken together, 442 in the lateral aspect they cover little more than a third and in the ventral aspect less than a third. 443 By contrast, L5 gains in width from dorsal to ventral, occupying little over a quarter of the total 444 thickness dorsally, to almost a half laterally and more than a half ventrally. Layers L2, L6 and 445 L7 do not change notably in width, though L6 contains a substantially lower density of neurons 446 in the ventral aspect than in the lateral and dorsal aspects.

In addition to the classical tectal retinorecipient layers 1–7, a considerable amount of retinal
terminals surpassed L7 and entered L8 (Figures 10, 11). Here they formed sparse ramifications,
mostly in the outer two-thirds of the lamina, but sometimes throughout its extent. L9 did not

- 450 contain any terminals or fibers.
- 451 Notably, in all intraocular injections, we found a sparse but evident amount of fibers and 452 terminals forming a conspicuous band from layers L11 through L13 (Figure 11). The density 453 and distribution of these deep tectal terminals was fairly uniform across the entire TeO from 454 anterior to posterior, but was more concentrated in the dorsal than in the ventral TeO (Figure 455 11 B,C,D). These "deep terminals" do not correspond to retinal fibers coursing radially from 456 layer 7 towards the deep tectal layers. Rather, they represent terminals of axons which branch 457 off from the isthmo-optic tract (TIO; Figure 11 A,B) and then proceed laterally into the TeO, 458 running along L15 and the tectal ventricle. Thereafter, they bend-off to cross radially through
- 459 layers L14 and L13 towards their terminal location (Figure 11 A,B). The terminals have a

striking morphology, with large bulbous-like varicosities, that distribute in layers L11, L12 and
more densely in L13 (Figure 11 C,D). L10 is almost completely free of such terminals.

462 Centrifugal neurons (ION)

In the dorso-caudal Isthmus of the midbrain a large quantity of retrogradely labeled neurons was found on the contralateral side (Figure 12 D), and a minor quantity on the ipsilateral side (Figure 12 C). These retinopetal (centrifugal) neurons were scattered over a considerable area within the neuroanatomical region of the avian isthmo-optic nucleus (ION) and its ectopic cell region (ECR). However, in Nissl-stained sections a clear nuclear organization as observed in most birds was not recognizable (Figure 12 A,B; see also Gutiérrez-Ibáñez et al. 2012).

- 469 Our stereological estimation of the number of retrogradely labelled centrifugal neurons yielded
- 470 4120 cells (CE = 0.0658) and 323 cells (CE = 0.0963) on the contralateral and the ipsilateral
- 471 $\,$ side, respectively. Mean diameters of contralateral profiles varied from 8.2 to 24.5 $\mu m,$ with an
- 472 average of 16.4 \pm 3.1 μ m. Those of ipsilateral profiles varied from 12.6 to 22.2 μ m, with an
- 473 average of 17.6 \pm 2.7 μ m. Note that the neurons' orientations could not be taken into account
- 474 for the measurements. Morphologically, the neurons were mostly large and multipolar (Figure
- 475 12 E,F), whereas smaller monopolar and fusiform neurons resembling typical avian isthmo-
- 476 optic neurons were scarce.

477 **Discussion**

478 In this study, we provide the first results of a systematic investigation of the visual pathways of 479 a Palaeognathae representative, the Chilean Tinamou (Nothoprocta perdicaria). We show that 480 the retina of the Tinamou possesses an elevated number of ganglion cells arranged in three 481 distinct topographical specializations: an *area centralis* (AC) with a shallow fovea, a horizontal 482 visual streak and a dorsotemporal area (DTA). Accordingly, the visual field is highly panoramic 483 with a restricted frontal binocular overlap. As can be seen in our neuronal tracer data, the normal 484 avian pattern of retinal central projections is well developed and differentiated. However, we 485 also found a remarkable projection to the deep layers of TeO labeled after intraocular CTB 486 injection. Similar projections have previously been described in embryonic chickens but are 487 absent in adult animals (Wizenmann and Thanos, 1990; Omi et al., 2011). Although no clear 488 isthmo-optic nucleus (ION; Repérant et al., 2006) is distinguishable (Figure 12 A,B; Gutiérrez-489 Ibáñez et al., 2012), we found a high number of retrogradely labeled centrifugal neurons in the 490 dorsal isthmic region, some of them projecting to the ipsilateral retina (Figure 12 C–F). Since 491 Tinamous represent a "basal" avian group, their centrifugal visual system may represent the 492 link between the well-defined ION of most neognathous birds and the centrifugal visual system 493 of the closest living relatives to birds, crocodiles (Müller and Reisz, 2005), who similar to the 494 Chilean Tinamou also show a diffuse arrangement of the isthmo-optic neurons (Médina et al., 495 2004).

496 Visual field

497 Visual field measurements can tell much about animals' ecology and behavior (Martin, 2007). 498 The most interesting aspects are the size and position of the frontal binocular overlap, the 499 general extent of the lateral monocular fields and the size of the blind area behind the bird. With 500 respect to the binocular field, Martin (2007) distinguishes three main types in birds: Type 1 501 fields with a binocular overlap between $20-30^\circ$, the bill's projection falling centrally or slightly 502 below the center, and with a blind area behind the head; type 2 fields with $\leq 10^{\circ}$ overlap, the bill 503 at its periphery or outside, and no blind area to the rear; and type 3 fields with large overlaps 504 and large blind areas behind (owls). According to this schematic, the Chilean Tinamou barely 505 has a type 1 field (Figure 2), which is mostly found in birds which forage by visual guidance of 506 the bill, e.g. pecking, and/or which care for their chicks by feeding them (Martin et al., 2005; 507 Martin, 2007). Tinamous do forage by pecking and by using their bill to dig in the ground for 508 food (Cabot, 1992). In comparison to the other Palaeognaths studied, the binocular field of the 509 Chilean Tinamou appears to be similar to that of the Ostrich (Martin and Katzir, 1995), and 510 larger than that of the Kiwi, which is a nocturnal bird with a specialized olfactory sense (Martin 511 et al., 2007).

512 Assumedly, the binocular field of the Chilean Tinamou is rather restricted, but with the aid of 513 convergent eye movements it could get larger and include the retinal DTAs (especially around

- the bill). This could provide increased spatial resolution, and perhaps stereopsis. It may also
- 515 provide functions for optic flow-field integration, which seems to be an important function of 516 binocularity in birds (Martin and Katzir, 1999; Martin, 2007).

517 **RGC density and visual acuity**

518 The Chilean Tinamou shows a variety of traits and specializations, which indicate a strong 519 reliance on its visual sense. The 'eye shape' value of -0.232 is typical of a diurnal bird (Hall 520 and Ross, 2007; Lisney et al., 2012a). In the retina, we found a high overall quantity of 521 approximately 4.3 million neurons. We could not quantify the ratio of the displaced amacrine 522 cell population included in our data, since a distinction by morphological criteria (Ehrlich, 523 1981) was not practicable in retinal areas of high neuron densities (Collin and Pettigrew, 1988; 524 Lisney and Collin, 2008; Lisney et al., 2012b; Wathey and Pettigrew, 1989). In various 525 neognathous birds, displaced amacrine cells have been reported to constitute varying portions 526 of the GCL neurons, for instance 30-35% (Ehrlich, 1981) or 32% (Chen and Naito, 1999) in 527 the chicken, 11% (Hayes, 1984) or 40% (Binggeli and Paule, 1969) in the pigeon, or 20-30% 528 in the quail (Muchnick and Hibbard, 1980). Arguably we could have applied one of those ratios 529 to our data, but given the considerable variation among Neognathae, we did not see a benefit in 530 doing so. Despite this caveat, the overall GCL count found in the Tinamou is high compared 531 with similar counts estimated for many other birds, such as Galliformes (Budnik et al., 1984; 532 Ehrlich, 1981; Ikushima et al., 1986; Lisney et al., 2012b), Anseriformes (Fernández-Juricic et 533 al., 2011; Lisney et al., 2013; Rahman et al., 2007a), Columbiformes (Binggeli and Paule, 534 1969), Passeriformes (Coimbra et al., 2009, 2006; Rahman et al., 2007b, 2006), various 535 Strigiformes (Barn owl, Northern saw-whet owl, Short-eared owl (Lisney et al., 2012a; Wathey 536 and Pettigrew, 1989)), Procellariiformes (Hayes and Brooke, 1990), Sphenisciformes (Coimbra 537 et al., 2012) and Struthioniformes (Ostrich; Boire et al., 2001). Out of all avian species studied 538 so far, the Chilean Tinamou is only surpassed by some particularly visually specialized ones, 539 for instance some owls (Snowy owl, Great horned owl, Great grey owl, Barred owl and 540 Northern hawk owl (Lisney et al., 2012a)), probably kingfishers (Moroney and Pettigrew, 541 1987), and Falconiformes (Inzunza et al., 1991), although in the latter two cases no total RGC 542 number quantifications have been provided by the authors.

543 With respect to the maximal GCL neuron density, the Chilean Tinamou also ranks high among 544 birds, if not vertebrates. In Neognathae, the displaced amacrine cell density is reportedly 545 uniform across the entire retina (Ehrlich, 1981) and of a negligible magnitude for RGC 546 estimations in high-density areas (Bravo and Pettigrew, 1981; Collin and Pettigrew, 1988). 547 Therefore, our estimation 61.9 *10³ neurons/mm² in the AC probably correspond to true RGCs 548 (see above), almost reaching the values obtained in eagles and hawks, who possess 65 and 62 549 *10³ cells/mm² in the foveal region of their GCL, respectively (Inzunza et al., 1991). 550 However, visual acuity is not only limited by the density of RGCs, but also by the eve's focal 551 length, which is proportional to its axial length (Hall and Ross, 2007; Martin, 1993; Walls, 552 1942). The theoretical spatial resolving power (SRP) can be estimated from the eye's focal 553 length and the maximal RGC density under the assumption that one cycle of grating can be 554 resolved by two adjacent ganglion cells (Collin and Pettigrew, 1989; Pettigrew et al., 1988; 555 Ullmann et al., 2012). The Chilean Tinamou's relatively high SRP value of 13.6 to 14.0 556 cycles/°, higher than, for example, phasianid Galliformes such as the chicken $(6.5 - 8.6 \text{ cycles})^\circ$; 557 Gover et al., 2009; Schmid and Wildsoet, 1998) or the quail $(4.3 - 4.9 \text{ cycles})^{\circ}$; Lee et al., 558 1997), reflects the relatively small eyes of this bird, for which the high RGC density can only 559 partly compensate. In contrast, the ostrich, despite its relatively low maximal RGC density of 560 approximately 9000 cells/mm², has a high estimated SRP of between 17.0 and 22.5 cycles/° 561 (Boire et al., 2001) because of its large eyes (axial length 39 mm (Martin and Katzir, 1995)). 562 Thus, the high number and density of RGCs in the Chilean Tinamou retina can be seen as a 563 way to increase visual acuity within the anatomical constraint of a relatively small eye size.

564 **Retinal topography**

Topographical specializations in the retinal cell distribution have long been recognized to be of 565 566 importance for eco-behavioral functioning of vertebrate vision (Hughes, 1977). Three distinct 567 types of areae (AC, horizontal visual streak and DTA) characterized by elevated retinal cell 568 densities are frequently found in birds (Güntürkün, 2000), and all of them are present in the 569 Chilean Tinamou (Figures 3 and 4). The AC, which subserves the bird's lateral visual field, 570 contains in addition to the already discussed high RGC density a shallow concaviclivate fovea 571 (Figure 4 A,B). This type of fovea, in contrast to the deep convexiclivate type (Walls, 1942), 572 covers a wider retinal area and has been proposed to accomplish a better functionality in 573 vigilance behavior (Fernández-Juricic, 2012). In comparison, the most basal Neognathae and 574 thus closest neognathous relatives, Galliformes, generally do not possess a fovea in their retina 575 (Lisney et al., 2012b), though the quail has been reported to have a shallow one (Ikushima et 576 al., 1986). However, a caveat must be added with respect to these interpretations, as the 577 specimens used in this study were acquired from a breeder. Thus, the shallowness of the fovea 578 could be the result of domestication, which has been reported to alter the fundus oculi 579 considerably (Walls, 1942; Wood, 1917), and wild Tinamous might possess a more pronounced 580 fovea than described here.

581 Distinct from the AC, a large DTA covers almost a quadrant of the Chilean Tinamou retina 582 (Figure 3). The presence of a DTA (or *area dorsalis*) is an often-found retinal feature of 583 granivorous birds (Budnik et al., 1984; Güntürkün, 2000), since it covers the antero-ventral 584 aspect of the visual field and thus aids in object (food) recognition and pecking behavior 585 (Martin, 2007; Nalbach et al., 1990). Fittingly, the Chilean Tinamou's diet, which consists 586 mostly of seeds and sometimes insects, is gathered by pecking and digging with the beak (Cabot, 1992; Conover, 1924). Interestingly, in contrast to this idea, not few phasianid
Galliformes reportedly lack a DTA, despite being ground-foragers (Lisney et al., 2012b). Thus,
other factors may contribute to the presence or absence of a DTA in a bird species, and it is
definitely curious that the basal Tinamou possesses this feature while many Galliformes do not.

591 Engulfing the AC, but distinct from the DTA, the Tinamou retina also features a horizontal 592 visual streak (Figure 3). According to the Terrain Hypothesis (Hughes, 1977), this 593 specialization frequently evolves in animals living in open or semi-open habitats without dense 594 arboreal vegetation, since it provides them with improved visual capacities for scanning the horizon, e.g. for predators. Quite a number of studies support this proposition, such as in the 595 596 red kangaroo Macropus rufus (Hughes, 1975), the Giraffe Giraffa camelopardalis (Coimbra et 597 al., 2013), anatid ducks (Lisney et al., 2013), the Canada goose Branta Canadensis (Fernández-598 Juricic et al., 2011), seabirds (Hayes and Brooke, 1990), non-nocturnal owls living in open 599 habitats (Lisney et al., 2012a), and even in such distant species as non-vertebrate crabs (Zeil et 600 al., 1986) or coleoid cephalopods (Talbot and Marshall, 2011). Also another palaeognathous 601 bird species, the Ostrich Struthio camelus (Boire et al., 2001), which lives in the savannas and 602 Sahel of Africa, possesses a pronounced horizontal visual streak. The Chilean Tinamou 603 conforms well to this hypothesis, since it exclusively lives in open habitats (Cabot, 1992; 604 Conover, 1924).

605 Central Retinal Projections

The overall pattern of retinal projections in the Chilean Tinamou is mostly consistent to the pattern found in Neognathous birds, implying that this shared organization of the avian visual system was fully present in the last common ancestors of Palaeognathae and Neognathae over 120 million years ago, and has in both groups remained highly conserved during this long time span of separate evolution.

611 **Dorsal Thalamus**

612 Representing the first stage of the thalamofugal pathway, the dorsal lateral geniculate (GLd) of 613 the Tinamou receives considerable input (Figures 7 C,D; 8 A), though clearly not as much as 614 the TeO. Similar to the pigeon (Güntürkün and Karten, 1991; Güntürkün et al., 1993; Miceli et 615 al., 2008, 1975) and the quail (Watanabe, 1987), the strongest retinorecipient GLd elements are 616 the ventral portion of the DLL (= DLLv of (Miceli et al., 2008)), its most ventral subdivision, 617 the SpRt, and the LdOPT (we adhere to the nomenclature of Güntürkün and Karten, 1991, while 618 others have identified it as DLAlr (Ehrlich and Mark, 1984a; Watanabe, 1987), or as a portion 619 of the DLLd (Miceli et al., 2008, 1975)). The high density and defined pattern of retinal input 620 in the LdOPT suggest that it is an important relay of the Tinamou's thalamofugal pathway, 621 similar to what is assumed in neognathous birds (Ehrlich and Mark, 1984a; Watanabe, 1987). 622 In addition, it contains conspicuously large retinal terminals (Figure 8 A), analogous to what 623 has been noted in the pigeon (Güntürkün and Karten, 1991).

624 **Ventral Thalamus**

- 625 The ventral Thalamus of the Tinamou appears to be very similar compared with other birds.
- 626 LA and GLv are well-developed (Figures 7 A–E; 8 B,C), and the GLv-ne of the latter is densely
- 627 innervated by retinal terminals. The nMOT (Figures 7 B–D; 8 B), which may be the homologue
- of the mammalian intergeniculate leaflet (IGL; (Güntürkün and Karten, 1991; Harrington, 628
- 629 1997)), has rather scarce retinal innervation when compared to the pigeon (Güntürkün and
- 630 Karten, 1991), however its general neuroanatomical organization is very similar.

631 **Hypothalamus**

- 632 Retinal input to the avian hypothalamus is mainly confined to a small lateral portion (Cantwell 633 and Cassone, 2006; Cassone and Moore, 1987; Cooper et al., 1983; Gamlin et al., 1982; Norgren and Silver, 1989b; Shimizu et al., 1994). Some studies also report scarce retinal 634 635 afferents to a second, medial hypothalamic division, e.g. in the pigeon (Shimizu et al., 1994) 636 and in the chicken (Cantwell and Cassone, 2006). In the palaeognathous Tinamou we could not 637 find any retinal terminals or fibers in the medial hypothalamic region, however we found input 638 to the lateral portion (Figures 7 A; 9 A) which we call vSCN, following the nomenclature of 639 Cantwell and Cassone (2006). Interestingly, in the closest extant relatives of birds, the
- 640 crocodiles, retino-hypothalamic projections to both a lateral and a medial portion of the 641 Hypothalamus have been described (Derobert et al., 1999).

642 **Pretectum and AOS**

643 To the Pretectum and AOS (Figures 7 D–F; 9 B,C), we generally found the typical avian retinal 644 projection pattern which, for example, has been described in the chicken (Ehrlich and Mark, 645 1984a), the quail (Norgren and Silver, 1989a) and the pigeon (Gamlin and Cohen, 1988a). On 646 the contralateral side, the projections comprise a large and densely labelled GT, LMm and LMl, 647 as well as a substantial nBOR of the AOS. Furthermore, the AP and especially its dorsal 648 subdivision (APd) were labelled from retinal input, similar to the description by Gamlin and 649 Cohen (1988a) and Shimizu et al. (1994) in the pigeon.

650 **Optic Tectum**

- 651 The Tinamou's TeO, which in birds generally receives the majority of retinal fibers (Benowitz
- 652 and Karten, 1976; Luksch, 2003; Mpodozis et al., 1995; Ramón y Cajal, 1909; Wylie et al.,
- 653 2009), is particularly prominent (Figure 6). Its retinorecipient layers 1-7 receive dense afferents
- 654 (Figure 10 D), suggesting a tectofugal pathway of considerable proportions. The dominance of 655
- the tectofugal pathway appears to be a common trait in Tinamiformes, since two other species
- 656 of this family are reported to possess large tectofugal components relative to brain volume (Bee
- 657 de Speroni and Carezzano, 1995; Iwaniuk et al., 2010).
- 658 The general organization of the Chilean Tinamou TeO is similar to neognathous birds. 659 Altogether it appears more complexly laminated than the chicken TeO (Karten, 2007), but not
- 660 as complex as a passerine TeO (Faunes et al., 2013; Karten et al., 2013). The relative width

changes of the various tectal layers from dorsal to ventral (especially L5; see Results; Figure
10) are generally similar to findings in the pigeon (Karten et al., 1997). In the pigeon, however,
the change of L5 is more dramatic (compare Figure 6 of Karten et al., 1997) and whereas in the
pigeon L4 is almost non-existent in the ventral TeO, in the Tinamou it remains a thin but distinct
lamina (Figure 10 C).

666 We found that layer 8 is relatively prominent in the Tinamou, and interestingly, although 667 classically considered non-retinorecipient, it receives retinal terminals throughout the TeO 668 (Figure 10 D; arrowheads in Figure 11). To our knowledge, such has not been reported in an 669 adult bird. However, an even denser L8-projection appears to be present in a neognathous 670 Caprimulgiform, the Band-winged Nightjar Caprimulgus (Systellura) longirostris (personal 671 communication from Juan E. Salazar and Jorge Mpodozis, manuscript in preparation). It has 672 been shown in chicken that during embryonic development, retinal fibers pervade the classical 673 retinorecipient layers 1-7 and intrude into L8, L9, and a few even into L10. This transient 674 projection progresses until E14, begins to degenerate at E16 and is almost gone by E17 (Omi 675 et al., 2011). Possibly, this embryonic projection is maintained in some birds such as the Chilean 676 Tinamou and the Band-winged Nightjar. Since both birds possess an enlarged L8, this retinal 677 projection may have to do with a functional specialization of this lamina.

678

We regard the fibers and terminals in the deep tectal layers 11–13 (Figure 11) as a very significant result. Since they were labeled by intraocular tracer injections, they either represent a projection from retinal neurons or collateral branches from ION neurons projecting to the retina.

In embryonic chickens, a very similar pathway has been described by Omi et al. (2011), which 683 684 first appears at E8–E9, degenerates from E14 onwards and entirely disappears after hatching. 685 These authors assumed that this projection originated in the retina, stating that the "retinal fibers 686 (...) run [dorsally] along the medial edge of the TeO after invading the tectum and turn toward 687 the lateral side". The fibers that seem to give rise to the deep tectal terminals in the Tinamou fit 688 rather well with this description in that they seem to enter the tectum at its dorsomedial margin 689 and then turn lateral. However, when following these fiber bundles along the transverse section 690 series from anterior to posterior, they surprisingly form a continuum with the Isthmo-optic tract 691 (TIO; Figure 11B; compare Figure 7F). Thus, they may be either retinal fibers running along 692 the TIO, or they may even be bifurcating side-branches of the TIO providing a feedback from 693 the centrifugal system to the TeO. In fact, Wizenmann et al. (1990) traced a transient projection 694 from centrifugal ION neurons to the tectum between E9 and E16, corroborated by double-695 labeling experiments. It is therefore probable that the results reported by Omi et al. represent 696 the same transient projection from the ION to the TeO, rather than a retinal projection. At 697 present, we cannot decide between both possibilities and further experiments will be needed to 698 clarify the source of these terminals. Whatever the case, the deep tectal pathway of the adult Tinamou would be equivalent to pathways transiently expressed in Neognaths such as thechicken.

701

702

703 Centrifugal Visual System

704 The centrifugal visual system of birds generally consists of two components, the organized 705 isthmo-optic nucleus (ION) and a surrounding region of "ectopic cells" (EC) (Clarke and 706 Cowan, 1975; Hayes and Webster, 1981; Miceli et al., 1999; Wilson and Lindstrom, 2011), 707 both respectively projecting to the retina in a characteristic fashion (Nickla et al., 1994; 708 Uchiyama et al., 2004). While most birds examined to date possess a well-defined ION, a recent 709 large-scale comparative study in which the authors examined Nissl material of several dozens 710 of bird species could not find any distinguishable ION in the Chilean Tinamou (Gutiérrez-711 Ibáñez et al., 2012). Similarly, two other palaeognathous birds were previously reported to lack 712 an ION – the Brown Kiwi (Craigie, 1930) and the Ostrich (Verhaart, 1971).

713 Retrograde labeling from our intraocular tracer experiments has now revealed that the Chilean 714 Tinamou possesses a considerable population of centrifugal neurons (Figure 12). These cells 715 appear to correspond mostly to ECs, for several reasons: First, they are not organized in a 716 distinctive nuclear structure as the typical neognathous ION. Second, we were unable to identify 717 tufted monopolar neurons resembling 'true' ION neurons of Neognathae (Cowan, 1970; Miceli 718 et al., 1995). Instead, all of the Tinamou's centrifugal neurons appear to be large and multipolar 719 (compare Figure 12 E,F) like the ECs of neognathous birds (Cowan and Clarke, 1976). And 720 third, a portion of the cells project to the ipsilateral retina (Figure 12 C,E), a common

- 721 characteristic of avian ECs (Repérant et al., 2006).
- 722 Intriguingly, the Tinamou isthmo-optic system bears striking resemblance to the centrifugal 723 visual system of crocodilians, the closest extant relatives of birds (Müller and Reisz, 2005). The 724 homology of the centrifugal visual system in the Archosauria is stressed by the finding that the 725 large majority of centrifugal neurons of both Crocodylus niloticus (Médina et al., 2004) and 726 Caiman crocodilus (Ferguson et al., 1978) reside in an isthmic region with the same 727 embryological origin as the avian isthmo-optic system (rhombomere 0) (Clarke and Cowan, 728 1976; Cowan and Clarke, 1976; Médina et al., 2004; O'Leary and Cowan, 1982; Repérant et 729 al., 2007).
- The result of th
- not possess any clearly organized nuclear structure (Médina et al., 2004). In addition,
- morphologically the crocodilian centrifugal neurons closely resemble the ECs of birds, since in
- both the crocodile and the caiman most of these neurons are multipolar or fusiform. Although
- the existence of a few monopolar neurons resembling neognathous ION cells was reported in

the crocodile, they do no project exclusively to the contralateral retina like neognathous 'true'
ION cells (Médina et al., 2004), and furthermore the caiman completely lacks such cells

737 (Ferguson et al., 1978).

738 Therefore, it is possible that the 'true' isthmo-optic nucleus is a synapomorphy of Neognathae, 739 and some characteristics of the basal "crocodilian" condition (e.g. only 'ectopic' centrifugal 740 neurons) maintained in palaeognathous birds. The alternative possibility would be that a 'true' 741 ION was present in the last common ancestor of Palaeognathae and Neognathae, but was 742 secondarily reduced in the Tinamou. In fact, such may have occurred in some non-basal 743 neognathous species of the order Procellariiformes and the closely related Pelicans (Gutiérrez-744 Ibáñez et al., 2012). Unfortunately, no retrograde tracing studies have been conducted in these 745 species, so that the existence of a perhaps small but 'true' ION cannot be ruled out. A well-746 organized ION is such a widespread condition in Neognathae that it seems likely that a 747 palaeognathous centrifugal visual system composed of ectopic cells as in the Tinamou is indeed 748 a basal condition and unique among birds. On grounds of these points, the centrifugal visual 749 system of Palaeognathae such as the Chilean Tinamou may represent an intermediate stage 750 between crocodiles and neognathous birds, filling a gap of approximately 250 million years 751 since the crocodile-bird split (Müller and Reisz, 2005).

752 Conclusion: Why study Tinamous?

753 The present study provides for the first time a comprehensive description of the visual system 754 of a palaeognathous bird, including its visual field, retinal topography, and retinal connections. 755 Although it is clear that in general the visual system is highly conserved across the Amniote 756 phylum, the comparative study of a basal bird may help to elucidate important aspects of its 757 evolution in finer detail. Because of the long evolutionary divergence between the Neognathae 758 and Palaeognathae, both similarities and differences between these clades are of interest. The 759 similarities (conserved characteristics) may represent the basal avian conditions that existed in 760 their common ancestors over 120 million years ago, whereas the differences illustrate which 761 elements of the avian visual system have been subjected to evolutionary change.

At the level of retino-central connectivity of the Tinamou, two elements have emerged as interesting differences to Neognathae and should deserve further investigation: First, the adult deep tectal terminals, which in Neognathae have only been reported at embryonic stages; and second, the centrifugal visual system, which appears to resemble more closely the crocodilian than the neognathous avian condition.

Future research should also investigate the Tinamou's higher visual projections, as well as the central organization of other sensory pathways. Qualitative observations of Nissl stained material (like shown in Figure 6) reveal interesting cytoarchitectonics in the Field L, the n. basalis, the arcopallium and the Wulst. A better understanding of the Tinamou's pallial circuits

- 771 would contribute to widen the basis for comparative studies across vertebrates, providing new
- insights about the evolution of the pallium and of the brain organization as a whole.

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783 Conflict of interest statement

784 We, the authors, declare that we do not have any conflicts of interest.

785 Role of authors

All authors had full access to all the data in the study and take responsibility for the integrity of

the data and the accuracy of the data analysis. Study concept and design: QK, GM, HL, TVZ.

788 Acquisition of data: QK, CM, GM. Analysis and interpretation of data: QK, HL, TVZ, GM.

789 Drafting of the manuscript: QK, GM, TVZ. Critical revision of the manuscript for important

790 intellectual content: HL, GM, TVZ. Statistical analysis: QK. Obtained funding: GM, HL. Study

supervision: HL and GM.

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1239

35

- 1240 Figure 1
- 1241 The Chilean Tinamou (*Nothoprocta perdicaria*) in the wild.
- 1242 Lateral view and frontal portrait (inset). Photography by Sergio Bitran M.
- 1243
- 1244 **Figure 2**
- 1245 Visual field and binocular overlap.

A: Perspective view of an orthographic projection of the Tinamou's frontal binocular visual field and the pectens. The maximum binocular overlap is approximately 20° azimuth (conventional latitude/longitude system). The tip of the bill points toward -13° latitude (cross), and is completely encompassed by the binocular overlap.

- 1250 B: Plan view of the azimuthal plane through the visual field along 0° latitude.
- 1251
- 1252 Figure 3

1253 Topographical distribution of neurons in the retinal ganglion cell layer (GCL).

The shaded scale on the right indicates the neural density within the respective isodensity contours (in cells/mm²). Insets on the left show photomicrographs of Nissl stained regions at representative positions: near the border (**A**), in the dorso-temporal area (**B**) and in the area centralis (**C**), demonstrating the substantial density differences within the GCL. The black patch marks the position of the pecten. Dorsal is up and anterior is to the right (as indicated by arrows). Scale bars: inset = 50 μ m, topography map = 5 mm.

- 1260
- 1261 Figure 4

1262 The retinal structure and the shallow fovea of the Tinamou.

A: Photo of a hemisected eyecup (same orientation as Figure 2). The central fovea is clearly
visible (arrow) as a small depression located dorso-anterior to the pecten.

1265 $\mathbf{B} - \mathbf{E}$: Nissl stained transverse sections (30 µm) of the retina displaying the retinal laminae; 1266 from inner to outer: GCL, inner plexiform layer (IPL), inner nuclear layer (INL), outer plexiform layer (OPL), outer nuclear layer (ONL) and the inner (IS) and outer segments (OS) 1267 of the photoreceptors. B: Overview of a section through the area centralis and the optic nerve 1268 1269 head (ONH) with the pecten (P) attached. Sclera (S) and retinal pigment epithelium (RPE). C: 1270 Enlarged view of a section through the middle of the area centralis (as marked in B). Note the 1271 shallow fovea manifested as a small depression in the GCL and INL, and the large thickness of 1272 the retinal layers. The ganglion cells form stacks of about 5-6 cells. The elevation of the retina 1273 in the central aspect is an artefact due to a wrinkle in the retina formed by detachment from the

- 1274 retinal pigment epithelium (RPE) during fixation. **D**: Detail of dorso-temporal area. The layers
- 1275 are generally thinner than in the area centralis (except for the IPL, which is thicker), and the 1276 GCL contains notably less neurons. **E**: Detail of GCL near the ventral border of the retina. Note
- 1276 GCL contains notably less neurons. **E**: Detail of GCL near the ventral border of the retina. Note 1277 that most layers are thinner, the photoreceptor segments are much shorter and the ganglion cells
- 1278 are scarcer and larger. Scale bars: A = 5 mm; B = 1 mm; C, D and E = 100 µm.
- 1279
- 1280 **Figure 5**

1281 **Photographs of the dissected brain.**

- From dorsal (top), lateral (middle) and ventral (bottom). Ce = Cerebellum, CO = Chiasma opticum, Tel = Telencephalon, TeO = optic tectum. Scale bar = 5 mm.
- 1284
- 1285 **Figure 6**

1286 **Projection pattern of retinal terminals in the contralateral optic tectum.**

1287 Series of coronal Nissl stained sections across the brain, demonstrating the contralateral retinal 1288 afferents to the TeO. The sections stem from one complete CTB-reacted series , presented at 1289 antero-posterior intervals of 400 μ m. Note that the entire TeO is labelled, illustrating that the 1290 tracer was taken up by the whole extent of the retina. Scale bar = 5 mm.

- 1291
- 1292 **Figure 7**

1293 **Overview of the retinal projections to central targets in the brain**.

1294 Each panel displays a coronal section, counterstained with Giemsa, from rostral (A) to caudal 1295 (F), along with a corresponding schematic of the CTB-labeled retinal terminal fields. All typical 1296 target areas receiving contralateral retinal input are well developed. They are observed in the 1297 Hypothalamus (vSCN; A-B), the thalamic ventrolateral geniculate complex (LA, GLv; A-E) 1298 and adjoining regions (nMOT, VLT; B-D), the dorsolateral geniculate complex (GLd; C-D), 1299 the TeO (D-F), the pretectum (LMm, LMl, GT, AP, APd; D-F) and the accessory optic system 1300 (nBOR; F). Also visible is the centrifugal isthmo-optic tract (TIO; F), which includes the tract 1301 of the deep tectal pathway (Tdp; compare Figure 11). Scale bars in C (for all panels) = 1 mm.

1302

1303 Figure 8

1304 **Detailed view of the retinal projection pattern to the contralateral thalamus.**

A: Photomicrographs of a coronal section through the anterior thalamus showing the
retinorecipient substructures of the GLd complex. The strongest input is found in the DLLv,
SpRt and LdOPT, the latter appearing very distinct due to the strongly labelled dense terminals.

1308 The DLAmc receives hardly, if any, retinal input. **B**: Terminal fields in the LA and the 1309 surrounding nMOT. **C**: Dense terminals in the lamina externa of the GLv in the ventral 1310 thalamus. Counterstained with Giemsa. Scale bar = $200 \mu m$.

- 1311
- 1312 Figure 9

1313 Detailed view of retinal projections to the hypothalamus, pretectum and accessory optic1314 system.

1315 (A). Photomicrographs of a coronal section through the hypothalamus showing scattered 1316 terminal fields in the vSCN. In the pretectum (**B**), dense terminal fields are found in the GT and 1317 the two substructures of the LM (LMI and LMm). The GT continues towards posterior until 1318 adjoining to the nBOR (**C**). Counterstained with Giemsa. Scale bar = 200 μ m.

- 1319
- 1320
- 1321 Figure 10

1322 Morphology of the tectal layers.

1323 Lamination pattern in the dorsal (A), lateral (B) and ventral (C) TeO, and enlarged view of the

1324 CTB-reacted retinorecipient layers (**D**). Relative widths of tectal layers vary considerably from

dorsal to ventral. The retinorecipient layer L5 increases from dorsal to ventral, whilst L2 and
L3, and also L4, diminish. L6 and L7, on the other hand, have a relatively constant width. Layer

1327 L8 is very conspicuous compared with other birds, not only because of its thickness, but most

1328 notably because it contains retinal terminals (arrowheads in D). All sections are stained with

1329 Nissl. Scale bar in C (same for A,B) = 200 μ m. Scalebar in D = 100 μ m.

1330

1331 Figure 11

1332 Deep tectal terminals after intraocular CTB injection.

A: Overview of a coronal section through the contralateral TeO, with the indication of 1333 1334 subsequent insets (B-D). B: Origin of the deep pathway and terminals in L11-13. A fiber tract 1335 enters the TeO laterally (left arrow), branching off from the TIO. The fibers run along the 1336 periventricular zone (upward-arrows) and turn radially outwards in order to reach their target 1337 areas (downward-arrows). Note also that retinal terminals exceeding the classical 1338 retinorecipient layers 1–7 and entering L8 can be distinguished (arrowheads; compare Figure 1339 10). C,D: Detailed photomicrographs of deep tectal varicosities (arrows) in the dorsal (B), 1340 lateral (C) and ventral (D) parts of the TeO, demonstrating their ubiquity. As in B, retinal 1341 terminals in L8 are very conspicuous (arrowheads). Counterstained with Giemsa. Scale bars: 1 1342 mm (A), 500 µm (B), 200 µm (C,D).

1343

1344 Figure 12

- 1345 The isthmo-optic region of the Chilean Tinamou, demonstrated by an intraocular CTB-1346 injection.
- Coronal sections through the isthmic region ipsilateral (A, C, E) and contralateral (B, D, F) to 1347 1348 the injected eye. Note that although no structured isthmo-optic nucleus (ION) is distinguishable 1349 in Nissl-stained sections (A, B), anti-CTB-reaction reveals a large number of contralateral, and 1350 a lower number of ipsilateral retrogradely labelled centrifugal neurons (C, D). The grand 1351 majority of these neurons are large (>20 μ m) and multipolar (**E**, **F**), resembling the ectopic cells surrounding the ION of Neognathous birds. Notes: A and C as well as B and D, respectively, 1352 1353 are consecutive sections from two series of the same brain, thus representing almost identical 1354 positions. Orientations given in A and B apply for all panels of their respective columns. On 1355 the contralateral side, also the oculomotor nucleus trochlearis (nIV) contains some retrogradely 1356 labelled neurons (see D), presumably from tracer spill into the periocular space. C, D are 1357 counter-stained with Giemsa. E, F are Extended Focal Imaging (EFI) extractions of z-stacks. 1358 Scale bars: A, B = 500 μ m; C, D = 500 μ m; E, F = 20 μ m.

Table of abbreviations

AC	area centralis
AOS	accessory optic system
AP	area pretectalis
APd	area pretectalis, pars dorsalis
СО	optic chiasm
cpd	cycles per degree
СТВ	Cholera toxin subunit B
DAB	diaminobenzidine
DLAmc	n. dorsolateralis anterior thalami, pars magnocellularis
DLL	n. dorsolateralis anterior thalami, pars lateralis
DTA	dorso-temporal area
EC	ectopic cell
ECR	ectopic cell region
GCL	retinal ganglion cell layer
GLv	n. geniculatus, pars ventralis
GLd	n. geniculatus, pars dorsalis
GT	tectal gray
IGL	intergeniculate leaflet
ION	isthmo-optic nucleus
LA	n. lateralis anterior
LdOPT	n. lateralis dorsalis optici principalis thalami
LM	n. lentiformis mesencephali
LMl	n. lentiformis mesencephali, pars lateralis
LMm	n. lentiformis mesencephali, pars medialis
nBOR	n. of the basal optic root
nIV	nucleus nervi trochlearis
nMOT	n. marginalis tractus optici
ОТ	optic tract

PBS	phosphate buffered saline
PFA	paraformaldehyde
PND	posterior nodal distance
РТ	n. pretectalis
ROI	region of interest
Rt	n. rotundus
SO	stratum opticum
SPC	n. superficialis parvocellularis
SpRt	n. suprarotundus
SRP	spatial resolving power
Tdp	deep tectal pathway
TeO	optic tectum
TIO	isthmo-optic tract
vSCN	visual suprachiasmatic nucleus
VLT	n. ventrolateralis thalami